



#3

PATENT  
Atty. Docket No. 369.101**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD FOR PRODUCING YEAST EXPRESSED HPV TYPES 6 AND 16 CAPSID PROTEINS

the specification of which (check one) \_\_\_ is attached hereto X was filed on 13 August 1999 as Application Serial No. US99/18016, and was amended on \_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under Title 35, United States Code, §120 and/or §119(e) of any United States application(s) and/or provisional applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status Patented, Pending, Abandoned
60/096,625	14 August 1998	Abandoned

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00

Full name of first inventor Daniela Tornese Buonamassa

Inventor's signature Daniela Tornese Buonamassa

Date 27/03/01

Residence Siena, Italy

ITX

Citizenship Italy

Post Office Address Via Caffarini, 39, 53100 Siena, Italy

Full name of sole or second inventor Catherine E. Greer

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence Oakland, CA

Citizenship U.S.

Post Office Address 6921 Skyline Blvd., Oakland, CA 94611

3-00

Full name of sole or third inventor Cesira L. Galeotti

Inventor's signature Cesira Galeotti

Date 29/03/01

Residence Montegrignoni, Italy

ITX

Citizenship Italy

Post Office Address Localita Pianuzzo, 4, 53038 <sup>8 STAGGIA (SI) CA</sup> Montegrignoni, Italy

4-00

Full name of fourth inventor Giuliano Bensi

Inventor's signature Giuliano Bensi

Date 29/03/01

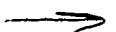
Residence Firenze, Italy ~~SKA~~

ITX

Citizenship Italy

Post Office Address Via Duccio di Buoninsegna, 48, 50134 Firenze, Italy

50143 GB



5-00

Full name of sole or fifth inventor Roberto Petracca

Inventor's signature Roberto Petracca

Date 29/03/07

Residence Siena, Italy

ITX

Citizenship Italy

Post Office Address Via Mentana, 47, 53100 Siena, Italy

**DECLARATION FOR PATENT APPLICATION**

APR 09 2001

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD FOR PRODUCING YEAST EXPRESSED HPV TYPES 6 AND 16 CAPSID PROTEINS

the specification of which (check one)    is attached hereto   X   was filed on   13 August 1999   as Application Serial No.   US99/18016  , and was amended on    (if applicable).

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Application Serial No.	Filing Date	Status <u>Patented, Pending, Abandoned</u>
60/096,625	14 August 1998	Abandoned

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Full name of first inventor Daniela Tornese Buonamassa

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Siena, Italy

Citizenship Italy

Post Office Address Via Caffarini, 39, 53100 Siena, Italy

2-00

Full name of sole or second inventor Catherine E. Greer

Inventor's signature Catherine E Greer Date 3/20/01

Residence Oakland, CA CA

Citizenship U.S.

Post Office Address 6921 Skyline Blvd., Oakland, CA 94611

Full name of sole or third inventor Cesira L. Galeotti

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Montegrignioni, Italy

Citizenship Italy

Post Office Address Localita Pianuzzo, 4, 53035 Montegrignioni, Italy

Full name of fourth inventor Giuliano Bensi

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Firenze, Italy

Citizenship Italy

Post Office Address Via Duccio di Buoninsegna, 48, 51034 Firenze, Italy

Full name of sole or fifth inventor Roberto Petracca

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Siena, Italy

Citizenship Italy

Post Office Address Via Mentana, 47, 53100 Siena, Italy



**COPY** PATENT  
Atty. Dkt. No. CHIR-00089  
Client Dkt. No. 0369.001  
USSN 60/096,625

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CATHERINE E. GREER et al.

Serial No.: 60/096,625

Group Art: Unassigned

Filed : August 14, 1998

Examiner: Unassigned

For : METHOD FOR PRODUCING YEAST EXPRESSED HPV TYPES 6 AND 16  
CAPSID PROTEINS

**ASSIGNMENT DOCUMENT COVER LETTER**

Box Assignment

Assistant Commissioner of Patents and Trademarks

Washington, D.C. 20231

1. The accompanying assignment covers the patent or application identified above.

X  No other patents or applications are covered by the assignment.

— Other patents and/or applications are covered by the assignment as shown  
on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED  
BY ASSIGNMENT.

**NAMES OF PARTY MAKING TRANSFER**

2. Name 1: Daniela Tornese Buonamassa  
Cesira L. Galeotti  
Giuliano Bensi  
Roberto Petracca

**IDENTITY OF PARTY TO WHOM TRANSFER IS MADE**

3. Transfer is being made to:

CHIRON S.p.A.  
Via Fiorentina 1  
53100 Siena , ITALY  
United States of America

COPY ONLY  
DO NOT FORWARD  
TO  
ASSIGNMENT BRANCH

**INTENTION OF DOCUMENT**

4. The accompanying document is an:

  X   Assignment                             License  
       Security Interest                  Other

  X   Execution Date: March 27 & 29, 2001

**FEE PAYMENT**

5. Total fee due \$40.00
6.        Charge Deposit Account No. 03-1664 in the sum of \$40.00.

  X   Enclosed is a check for \$40.00.

  X   The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 03-1664. THIS IS NOT AN AUTHORIZATION FOR PAYMENT OF ANY ISSUE FEES.

**ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL  
BY PTO**

7. Please return the recorded document to:

CHIRON CORPORATION  
Intellectual Property - R440  
P.O. Box 8097  
Emeryville, California 94662-8097  
United States of America



**STATEMENT BY THE PARTY**

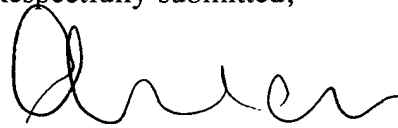
8. I hereby submit that, to the best of my knowledge and belief, the foregoing information contained herein is true and correct and any copy submitted herewith is a true and correct copy of the original document.

Respectfully submitted,

Date:

4 April 2001

By:



Alisa A. Harbin  
Attorney for Assignee  
Reg. No. 33,895

CHIRON CORPORATION  
Intellectual Property - R440  
P.O. Box 8097  
Emeryville, California 94662-8097  
(510) 923-2708  
(510) 655-3542 (Fax)

Total number of pages comprising cover sheet: 6 (Cover Letter plus Original Assignment)

**ASSIGNMENT**

**WHEREAS, WE, DANIELA TORNESE BUONAMASSA, CESIRA L. GALEOTTI,  
GIULIANO BENSI AND ROBERTO PETRACCA**

hereinafter referred to as **ASSIGNORS**, have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **METHOD FOR PRODUCING YEAST EXPRESSED HPV TYPES 6  
AND 16 CAPSID PROTEINS**

Date of Execution: 03/27 & 03/29/01      Filing Date: August 14, 1998

Serial No: 60/096,625

**WHEREAS, CHIRON S.p.A.** of Via Fiorentina, 1, I-53100, Siena, ITALY, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to **ASSIGNORS** by **ASSIGNEE**, receipt of which is hereby acknowledged, **ASSIGNORS** have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said **ASSIGNEE**, and **ASSIGNEE'S** successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (**including conversions claiming priority under 35 U.S.C. §119(e)**), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said **ASSIGNEE** as fully and exclusively as it would have been held and enjoyed by said **ASSIGNORS** had this assignment and transfer not been made, to the full end and term of any Letters Patent.

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TO  
ASSIGNMENT BRANCH

*ASSIGNORS* further agree that they will, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

*IN TESTIMONY WHEREOF, ASSIGNORS* have hereunto signed their names to this assignment on the dates indicated below.

DATE 27/03/01

WALTER FRANCINI

Daniela Tornese Buonamassa  
Daniela Tornese Buonamassa

Witnessed by

CATHERINE MALLIA

Catherine Mallia  
Catherine Mallia

Witnessed by

DATE 29/03/01

Cesira L. Galeotti  
Cesira L. Galeotti

DATE 29/03/01

Giuliano Bensi  
Giuliano Bensi

DATE 29/03/01

Roberto Petracca  
Roberto Petracca